



**Classified Research Policy #2310.002**

<b>INITIAL EFFECTIVE DATE:</b>  April 30, 2020	<b>LAST REVISION DATE:</b>  April 30, 2020	<b>RESPONSIBLE UNIVERSITY DIVISION/DEPARTMENT</b>  Office of Research and Economic Development
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**POLICY STATEMENT**

This policy sets forth the requirements for the conduct of Classified Research at the University.

The University permits employees the opportunity to engage in classified research. However, the primary mission of research universities is to disseminate information and advance knowledge in all academic fields. This policy seeks to ensure that Classified Research furthers the University’s mission of research, education and public service and is done in compliance with applicable federal law and regulations. In approving Classified Research, consideration will be given to the restrictions on publications, use of graduate students, the humanitarian nature of the research and the appropriateness of the scientific inquiry within the university environment.

No thesis or dissertation should be based exclusively on a proprietary or classified research project, nor should a thesis or dissertation include proprietary or classified information. Any graduate student and advisor engaged in such research should identify an alternative topic for the thesis or dissertation. Should a thesis or dissertation include such information, the document must be rewritten with classified or proprietary material excised.

**SCOPE**

This policy applies to all University faculty, staff and students engaged in research activities.

**REASON FOR POLICY**

To ensure that Classified Research at the University is conducted with proper administrative oversight, complies with applicable federal law and regulations and contributes to the University’s mission.



DEFINITIONS	
TERM	DEFINITIONS
Classified Research	Any research or other work that requires access to information to which the federal government applies a security classification.
ORED	The Office of Research and Economic Development.
University	Florida International University.

ROLES AND RESPONSIBILITIES
<p>As set forth in the procedures to this policy:</p> <ul style="list-style-type: none"> <li>• <u>Principal Investigator</u>: must submit the proposal for Classified Research to ORED.</li> <li>• <u>Vice President for Research and Economic Development</u>: will review the proposed research activity described in the proposal to determine if it is acceptable as appropriate academic research in accordance with the University’s mission.</li> <li>• <u>University Officials</u> (with the appropriate security clearance and administrative authority): will make the final determination of whether the proposal for Classified Research will be permitted to proceed.</li> <li>• <u>ORED</u>: will advise the Principal Investigator if the proposal has been approved and, if so, will submit the proposal to the sponsor.</li> </ul>

RELATED RESOURCES
<ul style="list-style-type: none"> <li>• <a href="#">FIU Office of Research and Economic Development website</a></li> <li>• <a href="#">FIU Classified Research Procedure #2310.002a</a></li> </ul>

CONTACTS
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HISTORY
<p><b>Initial Effective date:</b> April 30, 2020  <b>Review Dates</b> (<i>review performed, no updates</i>): N/A  <b>Revision Dates</b> (<i>updates made to document</i>): N/A</p>



**Classified Research Procedure #2310.002a**

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**PROCEDURE STATEMENT**

- 1) The Principal Investigator who seeks to perform Classified Research must submit the proposal, with no classified information, to the Office of Research and Economic Development (ORED) at least 14 business days before the proposal deadline to the sponsor.
- 2) The Vice President for Research and Economic Development will review the proposed research activity described in the proposal to determine if it is acceptable as appropriate academic research in accordance with the University’s mission. Only University personnel that have the required security clearance will be permitted to review proposals that include classified information.
- 3) The final determination of whether the proposal for Classified Research (as defined in the Policy) will be permitted to proceed will be made by University officials with the appropriate security clearance and administrative authority. Consideration will be given to the restrictions on publications, use of graduate students, the humanitarian nature of the research and the appropriateness of the scientific inquiry within a university environment.
- 4) ORED will advise the Principal Investigator if the proposal has been approved and if so, ORED will submit the proposal to the sponsor.